

**Return of Organization Exempt From Income Tax**

**2009**

Department of the Treasury  
Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code  
(except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

**Open to Public Inspection**

**For the 2009 calendar year, or tax year beginning , 2009, and ending ,**

<b>B</b> Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Termination <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	Please use <b>IRS label</b> or print or type. See specific Instruc- tions.	<b>C</b> AMERICAN SOCIETY OF ICHTHYOLOGISTS AND HERPETOLOGISTS C/O M. NEIGHBORS, 633 N. PINE WAY ANAHEIM, CA 92805-2506	<b>D</b> Employer Identification Number 95-6056946 <b>E</b> Telephone number 714-772-9345 <b>G</b> Gross receipts \$ 449,059.
		<b>F</b> Name and address of principal officer: Same As C Above	<b>H(a)</b> Is this a group return for affiliates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <b>H(b)</b> Are all affiliates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If 'No,' attach a list. (see instructions)
<b>I</b> Tax-exempt status <input checked="" type="checkbox"/> 501(c) ( 3 ) ◀ (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527		<b>J</b> Website: ▶ www.asih.org	
<b>K</b> Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶		<b>L</b> Year of Formation: 1913	<b>M</b> State of legal domicile: DC

**Part I Summary**

<b>Part I</b>		1 Briefly describe the organization's mission or most significant activities: <u>INCREASE KNOWLEDGE OF FISHES, REPTILES, AND AMPHIBIANS.</u>		
<b>Activities &amp; Governance</b>		2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its assets. 3 Number of voting members of the governing body (Part VI, line 1a) ..... <b>3</b> 104 4 Number of independent voting members of the governing body (Part VI, line 1b) ..... <b>4</b> 104 5 Total number of employees (Part V, line 2a) ..... <b>5</b> 0 6 Total number of volunteers (estimate if necessary) ..... <b>6</b> 275 7a Total gross unrelated business revenue from Part VIII, column (C), line 12 ..... <b>7a</b> 0. b Net unrelated business taxable income from Form 990-T, line 34 ..... <b>7b</b> 0.		
<b>Revenue</b>			<b>Prior Year</b>	<b>Current Year</b>
	8	Contributions and grants (Part VIII, line 1h) .....	161,454.	157,346.
	9	Program service revenue (Part VIII, line 2g) .....	129,019.	227,259.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d) .....	17,177.	-18,729.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) .....		
	12	Total revenue – add lines 8 through 11 (must equal Part VIII, column (A), line 12) .....	307,650.	365,876.
<b>Expenses</b>				
	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3) .....	28,215.	25,985.
	14	Benefits paid to or for members (Part IX, column (A), line 4) .....		
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) .....		
	16a	Professional fundraising fees (Part IX, column (A), line 11e) .....		
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶ .....		
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24f) .....	318,186.	281,320.
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) .....	346,401.	307,305.
	19	Revenue less expenses. Subtract line 18 from line 12 .....	-38,751.	58,571.
<b>Net Assets or Fund Balances</b>			<b>Beginning of Year</b>	<b>End of Year</b>
	20	Total assets (Part X, line 16) .....	987,628.	1,242,208.
	21	Total liabilities (Part X, line 26) .....	186,950.	205,951.
	22	Net assets or fund balances. Subtract line 21 from line 20 .....	800,678.	1,036,257.

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

<b>Sign Here</b>	▶ _____ Signature of officer	_____ Date	
	▶ <u>MARGARET NEIGHBORS</u> Type or print name and title.	_____ Treasurer	

<b>Paid Preparer's Use Only</b>	Preparer's signature ▶ <u>Richard D. Garman</u>	Date _____	Check if self-employed <input type="checkbox"/>	Preparer's identifying number (see instructions) P00088470
	Firm's name (or yours if self-employed), address, and ZIP + 4 ▶ <u>Richard D. Garman &amp; Associates, CPAs A.C.</u> <u>10061 Talbert Ave Ste 200</u> <u>Fountain Valley, CA 92708</u>	EIN ▶ <u>33-0298489</u>	Phone no. ▶ <u>(714) 378-6019</u>	

May the IRS discuss this return with the preparer shown above? (see instructions) .....  **Yes**  **No**

Part III Statement of Program Service Accomplishments

1 Briefly describe the organization's mission: INCREASE KNOWLEDGE OF FISHES, REPTILES, AND AMPHIBIANS.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? No

If 'Yes,' describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? No

If 'Yes,' describe these changes on Schedule O.

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code: ) (Expenses \$ 178,135. including grants of \$ ) (Revenue \$ 384,598.) PRINTING AND PUBLICATION OF ARTICLES REPORTING ORIGINAL RESEARCH ON FISHES, AMPHIBIANS, AND REPTILES IN THE JOURNAL COPEIA AND OCCASSIONALLY BOOKS AS SPECIAL PUBLICATIONS.

4b (Code: ) (Expenses \$ 25,985. including grants of \$ ) (Revenue \$ 25,985.) FUNDS AWARDED FOR RESEARCH

4c (Code: ) (Expenses \$ 16,314. including grants of \$ ) (Revenue \$ 52,415.) ANNUAL MEETING: PRESENTATIONS OF RESULTS OF RESEARCH ON FISHES, AMPHIBIANS, AND REPTILES EITHER AS TALKS OR POSTERS TO MEMBERS OF THE SOCIETY AS WELL AS OTHER SIMILAR SOCIETIES.

4d Other program services. (Describe in Schedule O.) (Expenses \$ including grants of \$ ) (Revenue \$ )

4e Total program service expenses 220,434.

**Part IV Checklist of Required Schedules**

		Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If 'Yes,' complete Schedule A.</i>	X	
2	Is the organization required to complete Schedule B, Schedule of Contributors?		X
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If 'Yes,' complete Schedule C, Part I.</i>		X
4	<b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities? <i>If 'Yes,' complete Schedule C, Part II.</i>		X
5	<b>Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations.</b> Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? <i>If 'Yes,' complete Schedule C, Part III.</i>		
6	Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If 'Yes,' complete Schedule D, Part I.</i>		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? <i>If 'Yes,' complete Schedule D, Part II.</i>		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If 'Yes,' complete Schedule D, Part III.</i>		X
9	Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If 'Yes,' complete Schedule D, Part IV.</i>		X
10	Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? <i>If 'Yes,' complete Schedule D, Part V.</i>	X	
11	Is the organization's answer to any of the following questions 'Yes'? <i>If so, complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.</i>	X	
	• Did the organization report an amount for land, buildings and equipment in Part X, line 10? <i>If 'Yes,' complete Schedule D, Part VI.</i>		
	• Did the organization report an amount for investments— other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If 'Yes,' complete Schedule D, Part VII.</i>		
	• Did the organization report an amount for investments— program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If 'Yes,' complete Schedule D, Part VIII.</i>		
	• Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If 'Yes,' complete Schedule D, Part IX.</i>		
	• Did the organization report an amount for other liabilities in Part X, line 25? <i>If 'Yes,' complete Schedule D, Part X.</i>		
	• Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? <i>If 'Yes,' complete Schedule D, Part X.</i>		
12	Did the organization obtain separate, independent audited financial statement for the tax year? <i>If 'Yes,' complete Schedule D, Parts XI, XII, and XIII.</i>	X	
12A	Was the organization included in consolidated, independent audited financial statement for the tax year? <i>If 'Yes,' completing Schedule D, Parts XI, XII, and XIII is optional.</i>		X
13	Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If 'Yes,' complete Schedule E.</i>		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?		X
14b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? <i>If 'Yes,' complete Schedule F, Part I.</i>		X
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? <i>If 'Yes,' complete Schedule F, Part II.</i>		X
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If 'Yes,' complete Schedule F, Part III.</i>	X	
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If 'Yes,' complete Schedule G, Part I.</i>		X
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If 'Yes,' complete Schedule G, Part II.</i>		X
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If 'Yes,' complete Schedule G, Part III.</i>		X
20	Did the organization operate one or more hospitals? <i>If 'Yes,' complete Schedule H.</i>		X

**Part IV Checklist of Required Schedules (continued)**

	Yes	No
<b>21</b> Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If 'Yes,' complete Schedule I, Parts I and II.</i>		X
<b>22</b> Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If 'Yes,' complete Schedule I, Parts I and III.</i>	X	
<b>23</b> Did the organization answer 'Yes' to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If 'Yes,' complete Schedule J.</i>		X
<b>24a</b> Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, and that was issued after December 31, 2002? <i>If 'Yes,' answer lines 24b through 24d and complete Schedule K. If 'No,' go to line 25.</i>		X
<b>b</b> Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
<b>c</b> Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
<b>d</b> Did the organization act as an 'on behalf of' issuer for bonds outstanding at any time during the year?		
<b>25a Section 501(c)(3) and 501(c)(4) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If 'Yes,' complete Schedule L, Part I.</i>		X
<b>b</b> Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If 'Yes,' complete Schedule L, Part I.</i>		X
<b>26</b> Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If 'Yes,' complete Schedule L, Part II.</i>		X
<b>27</b> Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If 'Yes,' complete Schedule L, Part III.</i>		X
<b>28</b> Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
<b>a</b> A current or former officer, director, trustee, or key employee? <i>If 'Yes,' complete Schedule L, Part IV.</i>		X
<b>b</b> A family member of a current or former officer, director, trustee, or key employee? <i>If 'Yes,' complete Schedule L, Part IV.</i>	X	
<b>c</b> An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or direct or indirect owner? <i>If 'Yes,' complete Schedule L, Part IV.</i>		X
<b>29</b> Did the organization receive more than \$25,000 in non-cash contributions? <i>If 'Yes,' complete Schedule M.</i>		X
<b>30</b> Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If 'Yes,' complete Schedule M.</i>		X
<b>31</b> Did the organization liquidate, terminate, or dissolve and cease operations? <i>If 'Yes,' complete Schedule N, Part I.</i>		X
<b>32</b> Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If 'Yes,' complete Schedule N, Part II.</i>		X
<b>33</b> Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If 'Yes,' complete Schedule R, Part I.</i>		X
<b>34</b> Was the organization related to any tax-exempt or taxable entity? <i>If 'Yes,' complete Schedule R, Parts II, III, IV, and V, line 1.</i>		X
<b>35</b> Is any related organization a controlled entity within the meaning of section 512(b)(13)? <i>If 'Yes,' complete Schedule R, Part V, line 2.</i>		X
<b>36 Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If 'Yes,' complete Schedule R, Part V, line 2.</i>		X
<b>37</b> Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If 'Yes,' complete Schedule R, Part VI.</i>		X
<b>38</b> Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O.	X	

BAA

Form 990 (2009)

**Part V Statements Regarding Other IRS Filings and Tax Compliance**

		Yes	No
<b>1 a</b>	Enter the number reported in Box 3 of form 1096, Annual Summary and Transmittal of U.S. Information Returns. Enter -0- if not applicable. . . . . <b>1 a</b> 1		
<b>b</b>	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable. . . . . <b>1 b</b> 0		
<b>c</b>	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? . . . . . <b>1 c</b> X	X	
<b>2 a</b>	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return . . . . . <b>2 a</b> 0		
<b>2 b</b>	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? . . . . . <b>2 b</b>		
<b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return. (see instructions)			
<b>3 a</b>	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return? . . . . . <b>3 a</b>		X
<b>b</b>	If 'Yes' has it filed a Form 990-T for this year? If 'No,' provide an explanation in Schedule O. . . . . <b>3 b</b>		
<b>4 a</b>	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? . . . . . <b>4 a</b>		X
<b>b</b>	If 'Yes,' enter the name of the foreign country: ▶ _____ See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
<b>5 a</b>	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? . . . . . <b>5 a</b>		X
<b>b</b>	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? . . . . . <b>5 b</b>		X
<b>c</b>	If 'Yes,' to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction? . . . . . <b>5 c</b>		
<b>6 a</b>	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible? . . . . . <b>6 a</b>		X
<b>b</b>	If 'Yes,' did the organization include with every solicitation an express statement that such contributions or gifts were not deductible? . . . . . <b>6 b</b>		
<b>7 Organizations that may receive deductible contributions under section 170(c).</b>			
<b>a</b>	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? . . . . . <b>7 a</b>	X	
<b>b</b>	If 'Yes,' did the organization notify the donor of the value of the goods or services provided? . . . . . <b>7 b</b>	X	
<b>c</b>	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? . . . . . <b>7 c</b>		X
<b>d</b>	If 'Yes,' indicate the number of Forms 8282 filed during the year. . . . . <b>7 d</b>		
<b>e</b>	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . . <b>7 e</b>		X
<b>f</b>	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . <b>7 f</b>		X
<b>g</b>	For all contributions of qualified intellectual property, did the organization file Form 8899 as required? . . . . . <b>7 g</b>		
<b>h</b>	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required? . . . . . <b>7 h</b>		
<b>8</b>	<b>Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.</b> Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? . . . . . <b>8</b>		X
<b>9 Sponsoring organizations maintaining donor advised funds.</b>			
<b>a</b>	Did the organization make any taxable distributions under section 4966? . . . . . <b>9 a</b>		
<b>b</b>	Did the organization make any distribution to a donor, donor advisor, or related person? . . . . . <b>9 b</b>		
<b>10 Section 501(c)(7) organizations. Enter:</b>			
<b>a</b>	Initiation fees and capital contributions included on Part VIII, line 12. . . . . <b>10 a</b>		
<b>b</b>	Gross Receipts, included on Form 990, Part VIII, line 12, for public use of club facilities. . . . . <b>10 b</b>		
<b>11 Section 501(c)(12) organizations. Enter:</b>			
<b>a</b>	Gross income from other members or shareholders. . . . . <b>11 a</b>		
<b>b</b>	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) . . . . . <b>11 b</b>		
<b>12a Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041? . . . . . <b>12 a</b>			
<b>b</b>	If 'Yes,' enter the amount of tax-exempt interest received or accrued during the year. . . . . <b>12 b</b>		

**Part VI Governance, Management and Disclosure** For each 'Yes' response to lines 2 through 7b below, and for a 'No' response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

**Section A. Governing Body and Management**

		Yes	No
<b>1 a</b>	Enter the number of voting members of the governing body		
<b>1 b</b>	Enter the number of voting members that are independent		
<b>2</b>	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee or key employee? See Schedule O	X	
<b>3</b>	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		X
<b>4</b>	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?		X
<b>5</b>	Did the organization become aware during the year of a material diversion of the organization's assets?		X
<b>6</b>	Does the organization have members or stockholders? See Schedule O	X	
<b>7 a</b>	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body? See Schedule O	X	
<b>7 b</b>	Are any decisions of the governing body subject to approval by members, stockholders, or other persons? See Sch. O	X	
<b>8</b>	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
<b>8 a</b>	The governing body?	X	
<b>8 b</b>	Each committee with authority to act on behalf of the governing body?	X	
<b>9</b>	Is there any officer, director or trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If 'Yes,' provide the names and addresses in Schedule O		X

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
<b>10 a</b>	Does the organization have local chapters, branches, or affiliates?		X
<b>10 b</b>	If 'Yes,' does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?		
<b>11</b>	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	X	
<b>11 A</b>	Describe in Schedule O the process, if any, used by the organization to review this Form 990. See Schedule O		
<b>12 a</b>	Does the organization have a written conflict of interest policy? If 'No,' go to line 13	X	
<b>12 b</b>	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	X	
<b>12 c</b>	Does the organization regularly and consistently monitor and enforce compliance with the policy? If 'Yes,' describe in Schedule O how this is done. See Schedule O	X	
<b>13</b>	Does the organization have a written whistleblower policy?	X	
<b>14</b>	Does the organization have a written document retention and destruction policy?	X	
<b>15</b>	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
<b>15 a</b>	The organization's CEO, Executive Director, or top management official		X
<b>15 b</b>	Other officers of key employees of the organization		X
	If 'Yes' to line 15a or 15b, describe the process in Schedule O. (See instructions.)		
<b>16 a</b>	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		X
<b>16 b</b>	If 'Yes,' has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?		

**Section C. Disclosures**

- 17** List the states with which a copy of this Form 990 is required to be filed ▶ None
- 18** Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply.  
 Own website     Another's website     Upon request
- 19** Describe in Schedule O whether (and if so, how) the organization makes its governing documents, conflict of interest policy, and financial statements available to the public. See Schedule O
- 20** State the name, physical address, and telephone number of the person who possesses the books and records of the organization:  
 ▶ MARGARET NEIGHBORS 633 N. PINE WAY, ANAHEIM CA 92805-2506 714-772-9345

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

1 a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year. Use Schedule J-2 if additional space is needed.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees. See instructions for definition of 'key employees.'
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if the organization did not compensate any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
RICHARD MAYDEN PR.PastPres/GOV	0	X						0.	0.	0.
JAMES W ATZ GOVERNOR	0	X						0.	0.	0.
DR DARREL R FROST GOVERNOR	0	X						0.	0.	0.
MARGARET NEIGHBORS Treasurer	0	X		X				0.	0.	0.
MAUREEN A. DONNELLY Secretary	0	X		X				0.	0.	0.
SCOTT A SCHAEFER EDITOR	0	X		X				0.	0.	0.
REEVE M BAILEY GOVERNOR	0	X						0.	0.	0.
HENRY L BART GOVERNOR	0	X						0.	0.	0.
CHRISTOPHER K BEACHY GOVERNOR	0	X						0.	0.	0.
STEVEN J BEAUPRE GOVERNOR	0	X						0.	0.	0.
FRANK BURBRINK GOVERNOR	0	X						0.	0.	0.
BROOKS M BURR GOVERNOR	0	X						0.	0.	0.
DONALD BUTH GOV. & ASSOC.ED.	0	X		X				0.	0.	0.
DAVID CANNATELLA GOVERNOR	0	X						0.	0.	0.
ROBERT CASHNER GOVERNOR	0	X						0.	0.	0.
DANIEL COHEN GOVERNOR	0	X						0.	0.	0.
KATHLEEN COLE GOVERNOR	0	X						0.	0.	0.

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (cont.)**

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
BRUCE COLLETTE GOVERNOR	0	X					0.	0.	0.	
SALVADOR CONTRERAS-BALDERAS GOVERNOR	0	X					0.	0.	0.	
WILLIAM COOPER GOVERNOR	0	X					0.	0.	0.	
HERBERT DESSAUER GOVERNOR	0	X					0.	0.	0.	
TIFFANY DOAN GOVERNOR	0	X					0.	0.	0.	
MICHAEL DORCAS GOVERNOR	0	X					0.	0.	0.	
MARLIS DOUGLAS GOVERNOR	0	X					0.	0.	0.	
MICHAEL DOUGLAS GOVERNOR	0	X					0.	0.	0.	
R.D. DURTSCHKE GOVERNOR	0	X					0.	0.	0.	
CARL FERRARIS JR GOV. & ASSOC. ED.	0	X			X		0.	0.	0.	
CARL GANS GOVERNOR	0	X					0.	0.	0.	
CARTER GILBERT GOVERNOR	0	X					0.	0.	0.	
RICHARD GLOR GOVERNOR	0	X					0.	0.	0.	
<b>1 b Total</b>							0.	0.	0.	

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization ▶ 0

	Yes	No
3 Did the organization list any <b>former</b> officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If 'Yes,' complete Schedule J for such individual.</i>	3	X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If 'Yes' complete Schedule J for such individual.</i>	4	X
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? <i>If 'Yes,' complete Schedule J for such person.</i>	5	X

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization.

(A) Name and business address	(B) Description of Services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ▶ 0



**SCHEDULE J-2**  
**(Form 990)**

**Continuation Sheet for Form 990**

OMB No. 1545-0047

**2009**

▶ Attach to Form 990 to list additional information for Form 990, Part VII, Section A, line 1a.  
▶ See instructions for Form 990.

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

Name of the Organization <b>AMERICAN SOCIETY OF ICHTHYOLOGISTS</b>	Employer Identification number <b>95-6056946</b>
---	---

**Part I Continuation: Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
E.J. HILTON GOVERNOR	0	X						0.	0.	0.
TARAN GRANT GOV. & ASSOC. ED	0	X		X				0.	0.	0.
HARRY GREENE GOVERNOR	0	X						0.	0.	0.
DAVID GREEN GOVERNOR	0	X						0.	0.	0.
DAVID GREENFIELD GOVERNOR	0	X						0.	0.	0.
PATRICK GREGORY GOVERNOR	0	X						0.	0.	0.
ARNOLD B GROBMAN GOVERNOR	0	X						0.	0.	0.
CRAIG GUYER GOVERNOR	0	X						0.	0.	0.
GREGORY HAENEL GOV. & ASSOC. ED.	0	X		X				0.	0.	0.
JAMES HANKEN PRES. ELECT	0	X		X				0.	0.	0.
PHILLIP HARRIS GOVERNOR	0	X						0.	0.	0.
RICHARD HIGHTON GOVERNOR	0	X						0.	0.	0.
F. PEZOLD GOVERNOR	0	X						0.	0.	0.
VICTOR HUTCHISON GOVERNOR	0	X						0.	0.	0.
ROBERT INGER GOVERNOR	0	X						0.	0.	0.
G. DAVID JOHNSON GOVERNOR	0	X						0.	0.	0.
CAROL JOHNSTON GOVERNOR	0	X						0.	0.	0.
DAVID KIZIRIAN GOV. & ASSOC. ED.	0	X		X				0.	0.	0.
CYNTHIA KLEPADLO GOV. & ASSOC. ED.	0	X		X				0.	0.	0.
NATHAN KLEY GOVERNOR	0	X						0.	0.	0.
BERNARD KUHAJDA GOVERNOR	0	X						0.	0.	0.

**SCHEDULE J-2**  
**(Form 990)**

**Continuation Sheet for Form 990**

OMB No. 1545-0047

**2009**

▶ Attach to Form 990 to list additional information for Form 990, Part VII, Section A, line 1a.  
▶ See instructions for Form 990.

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

Name of the Organization <b>AMERICAN SOCIETY OF ICHTHYOLOGISTS</b>	Employer Identification number <b>95-6056946</b>
---	---

**Part I Continuation: Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
MICHAEL LANNOO GOV& ASSOC.ED	0	X	X					0.	0.	0.
KAREN LIPS GOVERNOR	0	X						0.	0.	0.
JACQUELINE LITZGUS GOV. & ASSOC.ED.	0	X	X					0.	0.	0.
ANDRES LOPEZ GOVERNOR	0	X						0.	0.	0.
JOHN LUNDBERG President/ GOV.	0	X	X					0.	0.	0.
ANNE MAGLIA GOVERNOR	0	X						0.	0.	0.
ROY MCDIARMID GOVERNOR	0	X						0.	0.	0.
JOHN MCEACHRAN GOVERNOR	0	X						0.	0.	0.
HENRY MUSHINSKY PASTPRES / GOV.	0	X						0.	0.	0.
JOSEPH NELSON GOVERNOR	0	X						0.	0.	0.
KIRSTEN NICHOLSON GOVERNOR	0	X						0.	0.	0.
JAMES ORR GOV. & ASSOC.ED	0	X	X					0.	0.	0.
LARRY PAGE GOVERNOR	0	X						0.	0.	0.
LYNNE PARENTI GOVERNOR	0	X						0.	0.	0.
CHARLES PETERSON GOVERNOR	0	X						0.	0.	0.
MARK PETERSON GOVERNOR	0	X						0.	0.	0.
M.R. PREEST GOVERNOR	0	X						0.	0.	0.
THEODORE PIETSCH GOVERNOR	0	X						0.	0.	0.
MELISSA PILGRIM GOVERNOR	0	X						0.	0.	0.
KYLE PILLER GOVERNOR	0	X						0.	0.	0.
HARVEY POUGH GOVERNOR	0	X						0.	0.	0.

**SCHEDULE J-2**  
**(Form 990)**

**Continuation Sheet for Form 990**

OMB No. 1545-0047

**2009**

▶ Attach to Form 990 to list additional information for Form 990, Part VII, Section A, line 1a.  
▶ See instructions for Form 990.

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

Name of the Organization <b>AMERICAN SOCIETY OF ICHTHYOLOGISTS</b>	Employer Identification number <b>95-6056946</b>
---	---

**Part I Continuation: Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
JENNIFER PRAMUK GOVERNOR	0	X						0.	0.	0.
R.A. SAPORITO GOVERNOR	0	X						0.	0.	0.
JAMES QUATTRO GOV. & ASSOC. ED	0	X		X				0.	0.	0.
GEORGE RABB GOVERNOR	0	X						0.	0.	0.
TOD REEDER GOV. & ASSOC. ED.	0	X		X				0.	0.	0.
ROBERTO REIS GOVERNOR	0	X						0.	0.	0.
RICK RELYEA GOVERNOR	0	X						0.	0.	0.
RICHARD ROBINS GOVERNOR	0	X						0.	0.	0.
RICHARD ROSENBLATT GOVERNOR	0	X						0.	0.	0.
STEPHEN ROSS GOVERNOR	0	X						0.	0.	0.
MARK SABAJ GOVERNOR	0	X						0.	0.	0.
B. SIDLAUSKAS GOVERNOR	0	X						0.	0.	0.
JAY SAVAGE GOVERNOR	0	X						0.	0.	0.
ALAN SAVITZKY GOVERNOR	0	X						0.	0.	0.
ERIC SCHULTZ GOV. & ASSOC. ED	0	X		X				0.	0.	0.
W.B. SCOTT GOVERNOR	0	X						0.	0.	0.
D.J. STOUDE GOVERNOR	0	X						0.	0.	0.
GERALD R SMITH GOVERNOR	0	X						0.	0.	0.
W.LEO SMITH GOV. & ASSOC. ED.	0	X		X				0.	0.	0.
JOEL SNODGRASS GOV. & ASSOC. ED.	0	X		X				0.	0.	0.
A. SUMMERS GOVERNOR	0	X						0.	0.	0.

**SCHEDULE J-2**  
**(Form 990)**

**Continuation Sheet for Form 990**

OMB No. 1545-0047

**2009**

▶ Attach to Form 990 to list additional information for Form 990, Part VII, Section A, line 1a.  
▶ See instructions for Form 990.

Department of the Treasury  
Internal Revenue Service

**Open to Public Inspection**

Name of the Organization <b>AMERICAN SOCIETY OF ICHTHYOLOGISTS</b>	Employer Identification number <b>95-6056946</b>
---	---

**Part I Continuation: Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
E. TAYLOR GOVERNOR	0	X						0.	0.	0.
M.N. VALENZUELA GOVERNOR	0	X						0.	0.	0.
LINDA TRUEB GOVERNOR	0	X						0.	0.	0.
PETER WAINWRIGHT GOVERNOR	0	X						0.	0.	0.
MARVALEE WAKE GOVERNOR	0	X						0.	0.	0.
HJ WALKER JR GOVERNOR	0	X						0.	0.	0.
RICHARD WASSERSUG GOVERNOR	0	X						0.	0.	0.
JACQUELINE WEBB GOV. & ASSOC. ED	0	X		X				0.	0.	0.
MARK WESTNEAT GOVERNOR	0	X						0.	0.	0.
KIRK WINEMILLER GOVERNOR	0	X						0.	0.	0.
SHARON WISE GOV. & ASSOC. ED.	0	X		X				0.	0.	0.

**Part VIII Statement of Revenue**

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514	
<b>CONTRIBUTIONS, GIFTS, GRANTS AND OTHER SIMILAR AMOUNTS</b>	<b>1 a</b> Federated campaigns .....	<b>1 a</b>					
	<b>b</b> Membership dues .....	<b>1 b</b>	155,756.				
	<b>c</b> Fundraising events .....	<b>1 c</b>					
	<b>d</b> Related organizations .....	<b>1 d</b>					
	<b>e</b> Government grants (contributions) .....	<b>1 e</b>					
	<b>f</b> All other contributions, gifts, grants, and similar amounts not included above .....	<b>1 f</b>	1,590.				
	<b>g</b> Noncash contribns included in lns 1a-1f: ... \$						
	<b>h Total.</b> Add lines 1a-1f .....		157,346.				
<b>PROGRAM SERVICE REVENUE</b>	<b>2 a</b> SUBSCRIPTIONS		<b>Business Code</b>				
	<b>b</b> e-COPEIA REVENUE			97,968.	97,968.		
	<b>c</b> ANNUAL MEETING			55,097.	55,097.		
	<b>d</b> STUDENT TRAVEL			52,415.	52,415.		
	<b>e</b> PAGE CHARGES			8,235.	8,235.		
	<b>f</b> All other program service revenue .....			7,890.	7,890.		
	<b>g Total.</b> Add lines 2a-2f .....			5,654.	5,654.		
				227,259.			
<b>OTHER REVENUE</b>	<b>3</b> Investment income (including dividends, interest and other similar amounts) .....			12,017.		12,017.	
	<b>4</b> Income from investment of tax-exempt bond proceeds .....						
	<b>5</b> Royalties .....						
	<b>6 a</b> Gross Rents .....	(i) Real	(ii) Personal				
		<b>b</b> Less: rental expenses .....					
		<b>c</b> Rental income or (loss) .....					
		<b>d</b> Net rental income or (loss) .....					
	<b>7 a</b> Gross amount from sales of assets other than inventory .....	(i) Securities	(ii) Other				
		52,437.					
		<b>b</b> Less: cost or other basis and sales expenses .....					
		83,183.					
	<b>c</b> Gain or (loss) .....						
	-30,746.						
	<b>d</b> Net gain or (loss) .....				-30,746.	-30,746.	
	<b>8 a</b> Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c). See Part IV, line 18 .....	<b>a</b>					
		<b>b</b> Less: direct expenses .....	<b>b</b>				
		<b>c</b> Net income or (loss) from fundraising events .....					
	<b>9 a</b> Gross income from gaming activities. See Part IV, line 19 .....	<b>a</b>					
<b>b</b> Less: direct expenses .....		<b>b</b>					
<b>c</b> Net income or (loss) from gaming activities .....							
<b>10 a</b> Gross sales of inventory, less returns and allowances .....	<b>a</b>						
	<b>b</b> Less: cost of goods sold .....	<b>b</b>					
	<b>c</b> Net income or (loss) from sales of inventory .....						
Miscellaneous Revenue		<b>Business Code</b>					
<b>11 a</b> -----							
<b>b</b> -----							
<b>c</b> -----							
<b>d</b> All other revenue .....							
<b>e Total.</b> Add lines 11a-11d .....							
<b>12 Total revenue.</b> See instructions .....			365,876.	196,513.	0.	12,017.	

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

<i>Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.</i>	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21				
2 Grants and other assistance to individuals in the U.S. See Part IV, line 22	19,600.	19,600.		
3 Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16	6,385.	6,385.		
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	0.	0.	0.	0.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1) and persons described in section 4958(c)(3)(B))	0.	0.	0.	0.
7 Other salaries and wages				
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions)				
9 Other employee benefits				
10 Payroll taxes				
11 Fees for services (non-employees)				
a Management				
b Legal				
c Accounting	8,108.		8,108.	
d Lobbying				
e Prof fundraising svcs. See Part IV, ln 17				
f Investment management fees	5,413.		5,413.	
g Other				
12 Advertising and promotion				
13 Office expenses	33,370.		33,370.	
14 Information technology				
15 Royalties				
16 Occupancy				
17 Travel				
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings				
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization				
23 Insurance				
24 Other expenses. Itemize expenses not covered above. (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below.)				
a <u>Printing and Publications</u>	135,330.	135,330.		
b <u>PUBLICATION EDITORS</u>	35,038.	35,038.		
c <u>BUSINESS MANAGEMENT</u>	33,760.		33,760.	
d <u>ANNUAL MEETING</u>	16,314.	16,314.		
e <u>DUES AND SUBSCRIPTIONS</u>	4,035.	4,035.		
f All other expenses	9,952.	3,732.	6,220.	
25 Total functional expenses. Add lines 1 through 24f	307,305.	220,434.	86,871.	0.
26 Joint costs. Check here <input type="checkbox"/> if following SOP 98-2. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

**Part X Balance Sheet**

		(A) Beginning of year		(B) End of year
ASSETS	<b>1</b> Cash — non-interest-bearing .....	164,207.	<b>1</b>	
	<b>2</b> Savings and temporary cash investments .....	342,310.	<b>2</b>	510,453.
	<b>3</b> Pledges and grants receivable, net .....		<b>3</b>	
	<b>4</b> Accounts receivable, net .....	47,666.	<b>4</b>	45,081.
	<b>5</b> Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L .....		<b>5</b>	
	<b>6</b> Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B). Complete Part II of Schedule L ..		<b>6</b>	
	<b>7</b> Notes and loans receivable, net .....		<b>7</b>	
	<b>8</b> Inventories for sale or use .....		<b>8</b>	
	<b>9</b> Prepaid expenses and deferred charges .....		<b>9</b>	
	<b>10a</b> Land, buildings, and equipment: cost or other basis. <b>10a</b> Complete Part VI of Schedule D			
	<b>b</b> Less: accumulated depreciation .....	<b>10b</b>		<b>10c</b>
	<b>11</b> Investments — publicly-traded securities .....	427,010.	<b>11</b>	675,062.
	<b>12</b> Investments — other securities. See Part IV, line 11 .....		<b>12</b>	
	<b>13</b> Investments — program-related. See Part IV, line 11 .....		<b>13</b>	
	<b>14</b> Intangible assets .....		<b>14</b>	
	<b>15</b> Other assets. See Part IV, line 11 .....	6,435.	<b>15</b>	11,612.
<b>16 Total assets.</b> Add lines 1 through 15 (must equal line 34) .....	987,628.	<b>16</b>	1,242,208.	
LIABILITIES	<b>17</b> Accounts payable and accrued expenses .....	9,829.	<b>17</b>	32,350.
	<b>18</b> Grants payable .....		<b>18</b>	
	<b>19</b> Deferred revenue .....	177,121.	<b>19</b>	173,601.
	<b>20</b> Tax-exempt bond liabilities .....		<b>20</b>	
	<b>21</b> Escrow or custodial account liability. Complete Part IV of Schedule D .....		<b>21</b>	
	<b>22</b> Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L .....		<b>22</b>	
	<b>23</b> Secured mortgages and notes payable to unrelated third parties .....		<b>23</b>	
	<b>24</b> Unsecured notes and loans payable to unrelated third parties .....		<b>24</b>	
	<b>25</b> Other liabilities. Complete Part X of Schedule D .....		<b>25</b>	
	<b>26 Total liabilities.</b> Add lines 17 through 25 .....	186,950.	<b>26</b>	205,951.
NET ASSETS OR FUND BALANCES	<b>Organizations that follow SFAS 117, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 29 and lines 33 and 34.</b>			
	<b>27</b> Unrestricted net assets .....	667,163.	<b>27</b>	885,120.
	<b>28</b> Temporarily restricted net assets .....	1,515.	<b>28</b>	19,137.
	<b>29</b> Permanently restricted net assets .....	132,000.	<b>29</b>	132,000.
	<b>Organizations that do not follow SFAS 117, check here</b> <input type="checkbox"/> <b>and complete lines 30 through 34.</b>			
	<b>30</b> Capital stock or trust principal, or current funds .....		<b>30</b>	
	<b>31</b> Paid-in or capital surplus, or land, building, and equipment fund .....		<b>31</b>	
	<b>32</b> Retained earnings, endowment, accumulated income, or other funds .....		<b>32</b>	
<b>33</b> Total net assets or fund balances .....	800,678.	<b>33</b>	1,036,257.	
<b>34</b> Total liabilities and net assets/fund balances .....	987,628.	<b>34</b>	1,242,208.	

BAA

Form 990 (2009)

**Part XI Financial Statements and Reporting**

		Yes	No
<b>1</b>	Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other If the organization changed its method of accounting from a prior year or checked 'Other,' explain in Schedule O.		
<b>2a</b>	Were the organization's financial statements compiled or reviewed by an independent accountant? .....		X
<b>2b</b>	Were the organization's financial statements audited by an independent accountant? .....	X	
<b>2c</b>	If 'Yes' to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? .....		X
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.		
<b>d</b>	If 'Yes' to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both: .....		
	<input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		
<b>3a</b>	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? .....		X
<b>3b</b>	If 'Yes,' did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits. ....		

BAA

Form 990 (2009)



**SCHEDULE A**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**

**Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.**

▶ **Attach to Form 990 or Form 990-EZ.** ▶ **See separate instructions.**

OMB No. 1545-0047

**2009**

**Open to Public Inspection**

Name of the organization <b>AMERICAN SOCIETY OF ICHTHYOLOGISTS AND HERPETOLOGISTS</b>	Employer identification number <b>95-6056946</b>
--	---

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1  A church, convention of churches or association of churches described in **section 170(b)(1)(A)(i).**
- 2  A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E.)
- 3  A hospital or cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4  A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: \_\_\_\_\_
- 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6  A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8  A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9  An organization that normally receives: (1) more than 33-1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions – subject to certain exceptions, and (2) no more than 33-1/3 % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 10  An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 11  An organization organized and operated exclusively for the benefit of, to perform the functions of, or carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h.
  - a  Type I
  - b  Type II
  - c  Type III – Functionally integrated
  - d  Type III – Other
- e  By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
- f If the organization received a written determination from the IRS that is a Type I, Type II or Type III supporting organization, check this box.
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

	Yes	No
<b>(i)</b> a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? .....		
<b>(ii)</b> a family member of a person described in (i) above? .....		
<b>(iii)</b> a 35% controlled entity of a person described in (i) or (ii) above? .....		

(i) Name of Supported Organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of Support
			Yes	No	Yes	No	Yes	No	
<b>Total</b>									

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions and membership fees received. (Do not include 'unusual grants.')...						
2 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf. ....						
3 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge. ....						
4 <b>Total.</b> Add lines 1-through 3. ...						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) ...						
6 <b>Public support.</b> Subtract line 5 from line 4. ....						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
7 Amounts from line 4. ....						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources. ....						
9 Net income from unrelated business activities, whether or not the business is regularly carried on. ....						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.) ....						
11 <b>Total support.</b> Add lines 7 through 10. ....						
12 Gross receipts from related activities, etc. (see instructions) .....					12	
13 <b>First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here. .... ▶ <input type="checkbox"/>						

**Section C. Computation of Public Support Percentage**

14 Public support percentage for 2009 (line 6, column (f) divided by line 11, column (f)) .....	14	%
15 Public support percentage from 2008 Schedule A, Part II, line 14 .....	15	%
16a <b>33-1/3 support test – 2009.</b> If the organization did not check the box on line 13, and the line 14 is 33-1/3 % or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization. .... ▶ <input type="checkbox"/>		
<b>b 33-1/3 support test – 2008.</b> If the organization did not check a box on line 13, or 16a, and line 15 is 33-1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization. .... ▶ <input type="checkbox"/>		
17a <b>10%-facts-and-circumstances test – 2009</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the 'facts-and-circumstances' test, check this box and <b>stop here.</b> Explain in Part IV how the organization meets the 'facts-and-circumstances' test. The organization qualifies as a publicly supported organization. .... ▶ <input type="checkbox"/>		
<b>b 10%-facts-and-circumstances test – 2008.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the 'facts-and-circumstances' test, check this box and <b>stop here.</b> Explain in Part IV how the organization meets the 'facts-and-circumstances' test. The organization qualifies as a publicly supported organization. .... ▶ <input type="checkbox"/>		
18 <b>Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions. .... ▶ <input type="checkbox"/>		

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 9 of Part I.)

**Section A. Public Support**

Calendar year (or fiscal yr beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions and membership fees received. (Do not include "unusual grants.")	175,424.	167,286.	153,235.	165,204.	165,571.	826,720.
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in a activity that is related to the organization's tax-exempt purpose.	240,698.	170,012.	180,047.	125,269.	219,024.	935,050.
3 Gross receipts from activities that are not an unrelated trade or business under section 513.						0.
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf.						0.
5 The value of services or facilities furnished by a governmental unit to the organization without charge.						0.
6 Total. Add lines 1 through 5.	416,122.	337,298.	333,282.	290,473.	384,595.	1,761,770.
7a Amounts included on lines 1, 2, 3 received from disqualified persons.	0.	0.	0.	0.	0.	0.
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of 1% of the amount on line 13 for the year.	0.	0.	0.	0.	0.	0.
c Add lines 7a and 7b.	0.	0.	0.	0.	0.	0.
8 Public support (Subtract line 7c from line 6.)						1,761,770.

**Section B. Total Support**

Calendar year (or fiscal yr beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
9 Amounts from line 6.	416,122.	337,298.	333,282.	290,473.	384,595.	1,761,770.
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources.	26,709.	25,333.	30,666.	24,710.	12,017.	119,435.
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975.						0.
c Add lines 10a and 10b.	26,709.	25,333.	30,666.	24,710.	12,017.	119,435.
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on.						0.
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.) See Part IV.	2,863.	1,717.				4,580.
13 Total support. (add lines 9, 10c, 11, and 12.)						1,885,785.

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here

**Section C. Computation of Public Support Percentage**

15 Public support percentage for 2009 (line 8, column (f) divided by line 13, column (f)).	15	93.4 %
16 Public support percentage from 2008 Schedule A, Part III, line 15.	16	92.9 %

**Section D. Computation of Investment Income Percentage**

17 Investment income percentage for 2009 (line 10c, column (f) divided by line 13, column (f)).	17	6.3 %
18 Investment income percentage from 2008 Schedule A, Part III, line 17.	18	6.5 %

19a 33-1/3 support tests – 2009. If the organization did not check the box on line 14, and line 15 is more than 33-1/3%, and line 17 is not more than 33-1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

b 33-1/3 support tests – 2008. If the organization did not check a box on line 14 or 19a, and line 16 is more than 33-1/3%, and line 18 is not more than 33-1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions



Part III, Line 12 - Other Income

Nature and Source	2009	2008	2007	2006	2005
MISCELLANEOUS				1,717.	2,863.
Total	\$ 0.	\$ 0.	\$ 0.	\$ 1,717.	\$ 2,863.

SCHEDULE D (Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements

Complete if the organization answered 'Yes,' to Form 990, Part IV, lines 6, 7, 8, 9, 10, 11, or 12. Attach to Form 990. See separate instructions

OMB No. 1545-0047

2009

Open to Public Inspection

Name of the organization

AMERICAN SOCIETY OF ICHTHYOLOGISTS AND HERPETOLOGISTS

Employer identification number

95-6056946

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts Complete if the organization answered 'Yes' to Form 990, Part IV, line 6.

Table with 3 columns: Question, (a) Donor advised funds, (b) Funds and other accounts. Rows include total number at end of year, aggregate contributions, aggregate grants, aggregate value, and two questions about donor advisement.

Part II Conservation Easements Complete if the organization answered 'Yes' to Form 990, Part IV, line 7.

Table with 3 columns: Question, Held at the End of the Year (2a-2d), and Yes/No. Rows include purpose of easements, number of easements, and monitoring details.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets Complete if the organization answered 'Yes' to Form 990, Part IV, line 8.

Table with 3 columns: Question, Revenues, Assets. Rows include reporting requirements for art and historical treasures.

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** (continued)

3 Using the organization's acquisition accession and other records, check any of the following that are a significant use of its collection items (check all that apply):

- a  Public exhibition
- b  Scholarly research
- c  Preservation for future generations
- d  Loan or exchange programs
- e  Other \_\_\_\_\_

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?  Yes  No

**Part IV Escrow and Custodial Arrangements** Complete if organization answered 'Yes' to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian, or other intermediary for contributions or other assets not included on Form 990, Part X?  Yes  No

b If 'Yes,' explain the arrangement in Part XIV and complete the following table:

	Amount
c Beginning balance	1c
d Additions during the year	1d
e Distributions during the year	1e
f Ending balance	1f

2a Did the organization include an amount on Form 990, Part X, line 21?  Yes  No

b If 'Yes,' explain the arrangement in Part XIV.

**Part V Endowment Funds** Complete if organization answered 'Yes' to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	132,000.	132,000.			
b Contributions	10.	70,101.			
c Net Investment earnings, gains, and losses	36,726.	-60,024.			
d Grants or scholarships					
e Other expenditures for facilities and programs	36,736.	10,077.			
f Administrative expenses					
g End of year balance	132,000.	132,000.			

2 Provide the estimated percentage of the year end balance held as:

- a Board designated or quasi-endowment \_\_\_\_\_ %
- b Permanent endowment \_\_\_\_\_ %
- c Term endowment \_\_\_\_\_ %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

	Yes	No
(i) unrelated organizations	<input type="checkbox"/>	<input checked="" type="checkbox"/>
(ii) related organizations	<input type="checkbox"/>	<input checked="" type="checkbox"/>
b If 'Yes' to 3a(ii), are the related organizations listed as required on Schedule R?	<input type="checkbox"/>	<input type="checkbox"/>

4 Describe in Part XIV the intended uses of the organization's endowment funds.

**Part VI Investments—Land, Buildings, and Equipment.** See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated Depreciation	(d) Book Value
1a Land				
b Buildings				
c Leasehold improvements				
d Equipment				
e Other				

**Total.** Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c).) 0.

BAA

**Part VII Investments—Other Securities** See Form 990, Part X, line 12. N/A

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Financial derivatives . . . . .		
Closely-held equity interests . . . . .		
Other _____		
-----		
-----		
-----		
-----		
-----		
-----		
-----		
-----		
-----		
<b>Total.</b> (Column (b) must equal Form 990 Part X, col. (B) line 12.) ▶		

**Part VIII Investments—Program Related** (See Form 990, Part X, line 13) N/A

(a) Description of investment type	(b) Book value	(c) Method of valuation Cost or end-of-year market value
<b>Total.</b> (Column (b) must equal Form 990, Part X, Col. (B) line 13.) ▶		

**Part IX Other Assets** (See Form 990, Part X, line 15) N/A

(a) Description	(b) Book value
<b>Total.</b> (Column (b) must equal Form 990, Part X, col.(B), line 15) . . . . . ▶	

**Part X Other Liabilities** (See Form 990, Part X, line 25)

(a) Description of Liability	(b) Amount
Federal Income Taxes	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 25) ▶	

2. FIN 48 Footnote. In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48. See Part XIV



<b>Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements</b>	
1 Total revenue (Form 990, Part VIII, column (A), line 12)	365,876.
2 Total expenses (Form 990, Part IX, column (A), line 25)	307,305.
3 Excess or (deficit) for the year. Subtract line 2 from line 1	58,571.
4 Net unrealized gains (losses) on investments	171,595.
5 Donated services and use of facilities	
6 Investment expenses	5,413.
7 Prior period adjustments	
8 Other (Describe in Part XIV)	
9 Total adjustments (net). Add lines 4 through 8	177,008.
10 Excess or (deficit) for the year per audited financial statements. Combine lines 3 and 9	235,579.

<b>Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return</b>		
1 Total revenue, gains, and other support per audited financial statements	1	537,471.
2 Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a Net unrealized gains on investments	2a	171,595.
b Donated services and use of facilities	2b	
c Recoveries of prior year grants	2c	
d Other (Describe in Part XIV)	2d	
e Add lines 2a through 2d	2e	171,595.
3 Subtract line 2e from line 1	3	365,876.
4 Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a Investments expenses not included on Form 990, Part VIII, line 7b	4a	
b Other (Describe in Part XIV)	4b	
c Add lines 4a and 4b	4c	
5 Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	365,876.

<b>Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return</b>		
1 Total expenses and losses per audited financial statements	1	301,892.
2 Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a Donated services and use of facilities	2a	
b Prior year adjustments	2b	
c Other losses	2c	
d Other (Describe in Part XIV)	2d	
e Add lines 2a through 2d	2e	
3 Subtract line 2e from line 1	3	301,892.
4 Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a Investments expenses not included on Form 990, Part VIII, line 7b	4a	5,413.
b Other (Describe in Part XIV)	4b	
c Add lines 4a and 4b	4c	5,413.
5 Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	307,305.

**Part XIV Supplemental Information**

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, line 8; Part XII, lines 2d and 4b; and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

**Part X - FIN 48 Footnote**

-----  
 THE SOCIETY HAS ADOPTED FIN 48 AND THERE IS NO TAX PROVISION THAT WOULD NOT BE  
 -----  
 SUSTAINED UNDER EXAMINATION.  
 -----  
 -----  
 -----  
 -----  
 -----  
 -----



Schedule F (Form 990)

Department of the Treasury Internal Revenue Service

Statement of Activities Outside the United States

Complete if the organization answered 'Yes' to Form 990, Part IV, line 14b, 15, or 16. Attach to Form 990. See separate instructions.

OMB No. 1545-0047

2009

Open to Public Inspection

Name of the organization

AMERICAN SOCIETY OF ICHTHYOLOGISTS

Employer identification number

95-6056946

Part I General Information on Activities Outside the United States. Complete if the organization answered 'Yes' to Form 990, Part IV, line 14b.

1 For grantmakers. Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No

2 For grantmakers. Describe in Part IV the organization's procedures for monitoring the use of grant funds outside the United States.

3 Activities per Region. (Use Schedule F-1 (Form 990) if additional space is needed.)

Table with 6 columns: (a) Region, (b) Number of offices in the region, (c) Number of employees or agents in region, (d) Activities conducted in region (by type), (e) If activity listed in (d) is a program service, describe specific type of service(s) in region, (f) Total expenditures in region. Includes a Totals row at the bottom.





**Part IV Supplemental Information**

Complete this part to provide the information required in Part I, line 2, and any additional information.

**Part I, Line 2 - Grantmakers Explanation For Grants Outside US**

THERE IS NO MONITORING OF FUNDS OUTSIDE THE US.

SCHEDULE I  
(Form 990)

Grants and Other Assistance to Organizations,  
Governments and Individuals in the United States

OMB No. 1545-0047

2009

Open to Public  
Inspection

Department of the Treasury  
Internal Revenue Service

Complete if the organization answered 'Yes,' to Form 990, Part IV, lines 21 or 22.  
▶ Attach to Form 990.

Name of the organization

AMERICAN SOCIETY OF ICHTHYOLOGISTS

Employer identification number

95-6056946

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States. See Part IV

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered 'Yes' to Form 990, Part IV, line 21 for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
-----							
-----							
-----							
-----							
-----							
-----							
-----							
-----							
-----							
-----							
-----							
-----							
-----							
-----							
-----							
-----							
-----							
-----							
-----							
-----							
-----							
-----							
-----							

2 Enter total number of section 501(c)(3) and government organizations ..... ▶ 0

3 Enter total number of other organizations ..... ▶ 0

**Part III Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 22. Use Part IV and Schedule I-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
				ACTUAL PURCHASE	
FITCH AWARD	1	1,000.	74.	COST	PLAQUE
GAIGE AWARD	10	5,000.			
GENERAL ENDOWMENT	1	1,349.			
JOHNSON PLAQUE	1		77.	PURCHASE COST	PLAQUE
RANEY AWARD	5	5,000.			
STORER AWARDS	2	600.			

**Part IV Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.**Part I, Line 2 - Grantmaker's Description of How Grants are Used**

ANNUAL MEETING AWARD (STOYE AND STORER) WINNERS ARE SELECTED BY THE AWARDS COMMITTEE

FROM STUDENTS WHO PRESENT THE FINDINGS OF THEIR RESEARCH PROJECTS AT THE ANNUAL

MEETING. TRAVEL EXPENSE AWARDS ARE ALSO MADE TO GRADUATE STUDENTS TO STIMULATE

ATTENDANCE AT THE ANNUAL MEETING. ENDOWMENT GRANTS TO ANNUAL MEETING SYMPOSIUM

ORGANIZERS ARE TO SUPPORT THEIR SYMPOSIA AS THEY SEE FIT AND ARE AWARDED BY THE

MEETING MANAGEMENT COMMITTEE BASED ON SYMPOSIUM PROPOSALS THEY RECEIVE. GRANTS TO

STUDENTS FOR EXPENSES IN CONDUCTING RESEARCH ON FISHES (RANEY) OR HERPS (GAIGE) ARE

AWARDED BASED ON RESEARCH PROPOSALS SUBMITTED TO THE RESPECTIVE AWARD COMMITTEE. THE

WINNER OF THE ANNUAL GIBBS AWARD IS SELECTED FROM NOMINEES BY THE GIBBS AWARD

COMMITTEE ON THE BASIS OF AN OUTSTANDING PUBLISHED BODY OF WORK IN SYSTEMATIC

BAA

Schedule I (Form 990) 2009



**Part I, Line 2 - Grantmaker's Description of How Grants are Used (continued)**

ICHTHYOLOGY BY A CITIZEN OF A WESTERN HEMISPHERE NATION. THE FITCH AWARD COMMITTEE CHOOSES A NOMINATED MEMBER FOR EXCELLENCE IN HERPETOLOGY. THE WINNER OF THE JOHNSON AWARD FOR SERVICE TO THE SOCIETY RECEIVES A PLAQUE BUT NO CASH AWARD AND IS CHOSEN FROM NOMINATED MEMBERS BY THE JOHNSON AWARD COMMITTEE.



**SCHEDULE L**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Transactions with Interested Persons**

▶ **Complete if the organization answered 'Yes' on Form 990, Part IV, line 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.**  
▶ **Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.**

OMB No. 1545-0047

**2009**

**Open to Public Inspection**

Name of the organization <b>AMERICAN SOCIETY OF ICHTHYOLOGISTS AND HERPETOLOGISTS</b>	Employer identification number <b>95-6056946</b>
---	---

**Part I Excess Benefit Transactions** (section 501(c)(3) and section 501(c)(4) organizations only).  
Complete if the organization answered 'Yes' on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b.

1	(a) Name of disqualified person	(b) Description of transaction	(c) Corrected?	
			Yes	No

2 Enter the amount of tax imposed on the organization managers or disqualified persons during the year under section 4958 ..... ▶ \$ \_\_\_\_\_

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization ..... ▶ \$ \_\_\_\_\_

**Part II Loans to and/or From Interested Persons.**  
Complete if the organization answered 'Yes' on Form 990, Part IV, line 26 or Form 990-EZ, Part V, line 38a.

(a) Name of interested person and purpose	(b) Loan to or from the organization?		(c) Original principal amount	(d) Balance due	(e) In default?		(f) Approved by board or committee?		(g) Written agreement?	
	To	From			Yes	No	Yes	No	Yes	No
<b>Total</b> .....				▶ \$ _____						

**Part III Grants or Assistance Benefitting Interested Persons.**  
Complete if the organization answered 'Yes' on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount and type of assistance

**Part IV Business Transactions Involving Interested Persons.**  
Complete if the organization answered 'Yes' on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction \$	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
KATIE SMITH	IND.CONTRACTOR	27,300.	PROD.EDITOR OF COPEIA		X

**SCHEDULE O  
(Form 990)**

**Supplemental Information to Form 990**

OMB No. 1545-0047

**2009**

Complete to provide information for responses to specific questions on  
Form 990 or to provide any additional information.  
▶ Attach to Form 990.

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

Name of the organization **AMERICAN SOCIETY OF ICHTHYOLOGISTS  
AND HERPETOLOGISTS**

Employer identification number  
**95-6056946**

**Form 990, Part VI, Line 2 - Business or Family Relationship of Officers, Directors, Etc.**

1.) TWO BOARD MEMBERS ARE MARRIED: MARLIS DOUGLAS AND MIKE DOUGLAS. MICHAEL DOUGLAS  
BECAME PRES-ELECT IN 2010.

2.) GOVERNOR AND OFFICER W. LEO SMITH IS MARRIED TO COPEIA PRODUCTION EDITOR  
(INDEPENDENT CONTRACTOR) KATHLEEN SMITH.

3.) GOVERNOR RICHARD MAYDEN IS MARRIED TO THE SISTER OF GOVERNOR BROOKS M. BURR.

**Form 990, Part VI, Line 6 - Explanation of Classes of Members or Shareholder**

ALL INDIVIDUALS WHO PAY THEIR ANNUAL DUES OR A LIFE MEMBERSHIP FEE ARE CONSIDERED  
MEMBERS OF THE SOCIETY. A FEW HONORARY FOREIGN MEMBERSHIPS ARE AWARDED

**Form 990, Part VI, Line 7a - How Members or Shareholders Elect Governing Body**

OFFICERS ARE ELECTED AT THE ANNUAL BUSINESS MEETING BY ACTIVE MEMBERS ATTENDING THE  
MEETING. TEN VACANCIES IN THE ELECTIVE MEMBERSHIP OF THE BOARD OF GOVERNORS ARE  
FILLED THROUGH ELECTION AT THE ANNUAL BUSINESS MEETING FROM NOMINATIONS PROVIDED BY  
THE NOMINATING COMMITTEE AND FROM THE FLOOR. THE EXECUTIVE COMMITTEE (EXEC) IS MADE  
UP OF THE PRESIDENT, PRESIDENT ELECT, PAST PRESIDENT, PRIOR PAST PRESIDENT,  
SECRETARY, TREASURER, EDITOR, CHAIR OF LONG RANGE PLANNING AND POLICY COMMITTEE, AND  
CHAIR OF THE ENDOWMENT AND FINANCE COMMITTEE. PAST PRESIDENTS REMAIN MEMBERS OF THE  
BOARD FOR LIFE.

**Form 990, Part VI, Line 7b - Decisions of Governing Body Approval by Members or Shareholders**

DECISIONS OF THE GOVERNING BODY MAY ALSO BE APPROVED BY MEMBERS. BETWEEN ANNUAL  
MEETINGS THE BUSINESS OF THE SOCIETY IS CONDUCTED BY THE OFFICERS, ACTING WITHIN  
THEIR INDIVIDUAL RESPONSIBILITIES, AND EXEC. THE BOARD CONDUCTS BUSINESS DURING THE  
PERIOD BETWEEN ANNUAL MEETINGS ONLY UPON CALL BY THE EXEC. AT THE TIME OF THE ANNUAL  
MEETING, THE BUSINESS OF THE SOCIETY IS CONDUCTED BY THE BOARD AND THE EXEC. SOME  
ITEMS APPROVED BY THE BOARD SUCH AS CHANGES IN THE BYLAWS , MUST ALSO BE APPROVED BY  
THE MEMBERSHIP AT THE ANNUAL BUSINESS MEETING. VOTING RESULTS ARE MAINTAINED IN THE

Name of the organization AMERICAN SOCIETY OF ICHTHYOLOGISTS AND HERPETOLOGISTS

Employer identification number 95-6056946

**Form 990, Part VI, Line 7b - Decisions of Governing Body Approval by Members or Shareholders (continued)**

MINUTES AND RESOLUTIONS ARE CARRIED OUT BY THE APPROPRIATE COMMITTEE OR DELEGATE MEMBER.

**Form 990, Part VI, Line 11 - Form 990 Review Process**

COPIES OF THE 990 ARE EMAILED TO ALL BOARD MEMBERS BY EITHER THE SECRETARY OR THE TREASURER BEFORE FILING WITH THE IRS. BOARD MEMBERS ARE REQUESTED TO INDICATE THEIR ACCEPTANCE OF THE FORM 990 OR REPORT ANY PROBLEMS. BOARD MEMBERS MUST RESPOND WITHIN A NOTED PERIOD SO THAT THE FORM 990 MAY BE FILED WITH THE IRS IN A TIMELY FASHION.

**Form 990, Part VI, Line 12c - Explanation of Monitoring and Enforcement of Conflicts**

THE SECRETARY SENDS OUT COPIES OF THE CONFLICT OF INTEREST POLICY AT THE BEGINNING OF EACH YEAR TO ALL BOARD MEMBERS BY EMAIL. A RETURN RESPONSE FROM EACH BOARD MEMBER TO THE SECRETARY IS REQUIRED AS AN INDICATION OF THE ACCEPTANCE OF THE POLICY.

**Form 990, Part VI, Line 19 - Other Organization Documents Publicly Available**

GOVERNING DOCUMENTS, POLICIES AND FINANCIAL STATEMENTS ARE AVAILABLE UPON REQUEST FROM THE SOCIETY'S HEADQUARTERS OR THE SECRETARY'S OFFICE.

